2633

RAB:MPP:rmk

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. 76855-14

#2

In re Patent Application of Zhenguo Lu, et al

Serial No. 09/819,760

Group Art Unit:

2633

Filed: March 29, 2001

Examiner:

Mary Benton

RECEIVED

For:

OPTICAL NOISE REDUCTION APPARATUS AND METHOD

JUL 2 6 2001

Technology Center 2600

## INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

This information disclosure statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first official action on the merits and therefore applicant respectfully requests consideration under 37 CFR 1.97(b).

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO 1449.

In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

i) each United States and foreign patent;

ii) each publication or that portion which caused it to be listed; and

all other information or that portion which caused it to be listed, excluding any copies of a United States patent application.

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any overpayment in fees to Deposit Account Number 19-2550.

Respectfully submitted,

ZHENGUO LU, ET AL

Dated: July 24, 2001

Allan Brett

Reg. No. 40,176

Smart & Biggar Box 2999, Station D

55 Metcalfe Street, Suite 900

Ottawa, Ontario Canada K1P 5Y6

Telephone: (613) 232-2486 Ext. 323

Fax: (613) 232-8440

Encls.:

Form PTO-1449

All references listed on Form PTO-1449

Form PTO-1449 (Modified)									PE	Atty. Docket No. 76855-	Seria	al No 09/8	19,760		
LIST OF PATENTS AND PUBLICATIONS									2 5 2001	Applicant Zhenguo Lu, et al  Siling Date March 29, 2001 Group 2633					
(Use several sheets if necessary)								,		Juning Date March 25, 2001 Group 2035					
					REF	ERE	NCE	DÉS	CNAMON	U.S. PATENT DOC	CUMENTS				
EXAM. INIT.			D	CUN		NUMBER			DATE	NAME	CLASS	SUB CLASS	CLASS APPROPRIATE		
	AA	5	4	3	0	5	7	2	07/04/95	DiGiovanni, et al	359	341			
	AB	5	7	9	0	7	2	1	08/04/98	Lee	385	11			
	AC	6	1	8	1	4	6	7	01/30/01	Jung, et al	359	341			
												RECE	IVEL	)	
				<del></del>	-		_					JUL 2	6 2001		
				<u> </u>	+			+			Te	hnology	Center 2	2600	
	<del></del>	<u> </u>		<u></u>	<u> —</u>			FOR	EIGN PATE	NT DOCUMENTS					
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	CLASS CLASS YES NO		LATION	
		-		Ī											
												ļ			
				<u> </u>	-	<u> </u>	<del> </del>	<del> </del>				<del> </del>	<del>                                     </del>	<del> </del>	
				<u> </u>	+-	+	<del> </del>	<del> </del>					<u> </u>	<del> </del>	
			<u> </u>	<u> </u>	 ОТИІ	D AI	L PT (i)		ing Author	Title Date Pertinent	Pages, Etc.)	l	<u> </u>	<u></u>	
	AD	"Modelling of symmetric 2 x 2 fused-fiber couplers", Suzanne Lacroix, et al, 20 December 1994, No. 36/ Applied Optics, pages 8361 to 8369.												Vol. 33,	
	AE												rt A. Griff	in, et al,	
	AF					"Biconical-taper single-mode fiber coupler", B.S. Kawasaki, et al, July 1981, Vol. 6, No. 7, Optics Letters, pages 327 to 328.									
	AG	AG "Dense all fiber WDM by means of Mach-Zehnder interferometer", Arnaud Symon, et al, SPIE Vol pages 114 to 122.											ol. 2695,		
EXAMI	NER	<u> </u>								DATE CONSIDERED					

EXAMINER:

**EXAMINER** 

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.